

# Toposes in arithmetic noncommutative geometry

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Based on joint work in progress with Morgan Rogers and  
on joint work in progress with Aurélien Sagnier.



## Background

In their approach to the Riemann Hypothesis, Connes and Consani introduced in 2014 the **Arithmetic Site**: the topos  $\mathbf{PSh}(\mathbb{N}_+^\times)$  equipped with a sheaf of semirings.

Later, an analogue of the Arithmetic Site was constructed for imaginary quadratic fields with class number 1 (Sagnier, 2017) and for  $2 \times 2$  integer matrices (H., 2018), the latter without notion of structure sheaf.

In all cases, the involved toposes are toposes of presheaves on monoids. Joint work with Morgan Rogers (2020): what does  $\mathbf{PSh}(M)$  look like in terms of  $M$ ?

**Focus of this talk:** geometric understanding of “Arithmetic Sites” over different base rings, and the interaction between them. No structures sheaves yet!



## Section 1

### Short introduction to topos theory



# Grothendieck topologies

## Definition

Let  $\mathcal{C}$  be a category. For  $U$  an object of  $\mathcal{C}$ , a **sieve** on  $U$  is a collection of morphisms  $S = \{U_i \rightarrow U\}_{i \in I}$  such that  $f \in S \Rightarrow f \circ g \in S$ .



# Grothendieck topologies

## Definition

A **Grothendieck topology** is a collection of sieves (“covering sieves”) on each object, such that

1. the **maximal sieve** containing all morphisms to  $U$  is a covering sieve on  $U$ ;
2. if  $S$  is a covering sieve on  $U$  and  $f : V \rightarrow U$  a morphism, then  $f^{-1}S = \{g : f \circ g \in S\}$  is a covering sieve on  $V$ ;
3. if  $S$  is a covering sieve on  $U$  and  $R$  is a sieve on  $U$  such that  $f^{-1}R$  is a covering sieve for all  $f \in S$ , then  $R$  is a covering sieve on  $U$ .



## Definition

A **sheaf**  $\mathcal{F}$  for a Grothendieck topology  $J$  on  $\mathcal{C}$  is

1. a set  $\mathcal{F}(U)$  of “sections” for each object  $U$  in  $\mathcal{C}$ ;
2. a restriction function  $\rho_f : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$  for each  $f : V \rightarrow U$  such that  $\rho_{f \circ g} = \rho_g \circ \rho_f$  and  $\rho_1 = 1$ ;
3. such that for each covering sieve  $\{f_i : U_i \rightarrow U\}_{i \in I}$  and family of sections  $s_i \in \mathcal{F}(U_i)$ ,  $i \in I$ , with  $\rho_g(s_i) = s_j$ ,  $\forall g : U_j \rightarrow U_i$ , there is a unique “glued” section  $s \in \mathcal{F}(U)$  such that  $\rho_{f_i}(s) = s_i$ ,  $\forall i \in I$ .

If only 1 and 2 are satisfied, we say that  $\mathcal{F}$  is a **presheaf**.



# Sheaves

A morphism of sheaves  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is a function  $\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  for each  $U$  in  $\mathcal{C}$ , in a way compatible with the restriction functions.

We write  $\mathbf{Sh}(\mathcal{C}, J)$  for the category of  $J$ -sheaves on  $\mathcal{C}$ .

## Definition

A **Grothendieck topos** is a category of the form  $\mathbf{Sh}(\mathcal{C}, J)$ .

If  $\mathbf{Sh}(\mathcal{C}, J) \simeq \mathbf{Sh}(\mathcal{D}, K)$ , then we say that  $(\mathcal{C}, J)$  and  $(\mathcal{D}, K)$  are **Morita equivalent**.





## Section 2

### Families of toposes



# Topological spaces

Let  $X$  be a topological space. We write  $\mathcal{O}(X)$  for the category of open subsets of  $X$  and inclusion maps  $V \rightarrow U$  whenever  $V \subseteq U$ .

Let  $J_{\text{can}}$  be the **canonical** Grothendieck topology: a sieve  $\{U_i \rightarrow U\}_{i \in I}$  is a covering sieve if and only if  $\bigcup_{i \in I} U_i = U$ .

We write  $\mathbf{Sh}(X) = \mathbf{Sh}(\mathcal{O}(X), J_{\text{can}})$ .

Sheaves on  $X$  correspond to local homeomorphisms  $Y \rightarrow X$ .



# Equivariant sheaves

Let  $X$  be a topological space and let  $G$  be a discrete group with a continuous left action on  $X$ . Then we define the category  $\mathcal{O}_G(X)$  with

- ▶ as objects the open sets  $U \subseteq X$ ;
- ▶ as morphisms  $\text{Hom}(U, V) = \{g \in G : g(U) \subseteq V\}$ .
- ▶ composition given by multiplication in  $G$ .

It has a Grothendieck topology  $J$  with as covering sieves those sieves  $\{g_i : U_i \rightarrow U\}_{i \in I}$  such that  $\bigcup_{i \in I} g_i(U_i) = U$ .

Now  $\mathbf{Sh}_G(X) = \mathbf{Sh}(\mathcal{O}_G(X), J)$  is called the topos of  **$G$ -equivariant sheaves** on  $X$ .



# Presheaf toposes

Let  $\mathcal{C}$  be a category. The presheaf/chaotic topology  $J_{\text{psh}}$  on  $\mathcal{C}$  is the topology such that only the maximal sieves are covering sieves.

The  $J_{\text{psh}}$ -sheaves are exactly the presheaves.

We write  $\mathbf{PSh}(\mathcal{C}) = \mathbf{Sh}(\mathcal{C}, J_{\text{psh}})$  for the category of presheaves on  $\mathcal{C}$ .



# Monoid toposes

Let  $\mathcal{C}$  be a category with only one object  $*$ . We identify  $\mathcal{C}$  with the monoid of endomorphisms  $M = \text{End}(*)$ .

We then write  $\mathbf{PSh}(M) = \mathbf{PSh}(\mathcal{C})$ .

Example: the underlying topos for the Arithmetic Site of Connes and Consani is  $\mathbf{PSh}(\mathbb{N}_+^\times)$ , with  $\mathbb{N}_+^\times = \{1, 2, 3, \dots\}$  as a monoid under multiplication.



## Comparison Lemma

### Lemma (Comparison Lemma)

Let  $\mathcal{C}$  be a category with Grothendieck topology  $J$ . Let  $\mathcal{D} \subseteq \mathcal{C}$  be a full subcategory such that on each object  $U$  of  $\mathcal{C}$  there is a  $J$ -covering sieve generated by objects in  $\mathcal{D}$ . Then there is an equivalence  $\mathbf{Sh}(\mathcal{C}, J) \simeq \mathbf{Sh}(\mathcal{D}, J|_{\mathcal{D}})$ .



## Comparison Lemma

The Comparison Lemma shows that  $\mathbf{Sh}(X) \simeq \mathbf{Sh}(\mathcal{B}, J_{\text{can}}|_{\mathcal{B}})$  for a basis of open subsets  $\mathcal{B} \subseteq \mathcal{O}(X)$ . So sheaves can be defined on a basis.

The same works for  $G$ -equivariant sheaves.



## Comparison Lemma: Application

Suppose that  $X$  is a topological space with continuous left action of a discrete group  $G$ .

Assume that the  $G$ -action is a **covering action**, i.e. there is a  $G$ -invariant basis  $\mathcal{B}$  for  $X$  such that  $U \cap g(U) \neq \emptyset \Rightarrow g = 1$  for all  $U \in \mathcal{B}$ .

Then  $\text{Hom}(U, V) = \{g \in G : g(U) \subseteq V\}$  has at most one element, for all  $U, V \in \mathcal{B}$ .

This leads to an equivalence  $\mathbf{Sh}_G(X) \simeq \mathbf{Sh}(X/G)$ , where  $X/G$  has the quotient topology.

For example:  $\mathbf{Sh}_{\mathbb{Z}}(\mathbb{R}) = \mathbf{Sh}(S^1)$ .





## Section 3

# Toposes associated to Dedekind domains

(joint work with Aurélien Sagnier)



Let  $R$  be a Dedekind domain with field of fractions  $K$ .

**Examples:**  $\mathcal{O}_K$  for  $K$  a number field, the coordinate ring of a smooth, irreducible, affine curve over  $\mathbb{F}_q$ , and discrete valuation rings like  $\mathbb{Z}_p$  or  $\mathbb{F}_q[[t]]$ .

An  $R$ -submodule  $M \subseteq K^n$  is said to be **full** if  $MK = K^n$ .

Because  $R$  is a Dedekind domain,  $R$ -submodules  $M \subseteq K^n$  are **divisorial**, i.e.

$$M = \bigcap_{\text{ht}(\mathfrak{p})=1} M_{\mathfrak{p}}.$$



# Topological interpretation

We say that  $\Lambda \subseteq K^n$  is a lattice if it is full and finitely generated.

We consider the space  $X_R$  with

- ▶ as points the full  $R$ -submodules  $M \subseteq K^n$ ;
- ▶ as basis of open sets the subsets  $\{M : \Lambda \subseteq M\}$  for  $\Lambda$  a lattice.

This is **sober** topological space, and as a result we can recover  $X_R$  from  $\mathbf{Sh}(X_R)$ .



## Explicit description

We want to give a more explicit description of the full  $R$ -submodules  $M \subseteq K^n$ . We first look at the  $R$ -submodules  $R^n \subseteq M \subseteq K^n$ .

These are equivalently given by a collection  $\{M_{\mathfrak{p}}\}_{\mathfrak{p}}$  with  $R_{\mathfrak{p}}^n \subseteq M_{\mathfrak{p}} \subseteq K^n$  an  $R_{\mathfrak{p}}$ -submodule, where  $\mathfrak{p}$  ranges over the height one primes (Orzech).

So enough to look at each height one prime separately.



## Pontryagin duality

By Morita equivalence, the  $R_{\mathfrak{p}}$ -submodules  $R_{\mathfrak{p}}^n \subseteq M \subseteq K^n$  correspond to the left  $M_n(R_{\mathfrak{p}})$ -submodules  $M_n(R_{\mathfrak{p}}) \subseteq M' \subseteq M_n(K)$ , and in turn these correspond to the left  $M_n(R_{\mathfrak{p}})$ -submodules of  $M_n(K)/M_n(R_{\mathfrak{p}})$ .

From now on, we assume that  $R_{\mathfrak{p}}/\mathfrak{p}$  is finite, for each height one prime  $\mathfrak{p}$ .



## Pontryagin duality

For  $\pi \in R_p$  a uniformizer, note that:

$$M_n(K)/M_n(R_p) = \varinjlim_{k \in \mathbb{N}} (\pi^{-k} M_n(R_p))/M_n(R_p).$$

So the Pontryagin dual of  $M_n(K)/M_n(R_p)$  is

$$\varprojlim_{k \in \mathbb{N}} M_n(R_p)/\pi^k M_n(R_p) = M_n(\widehat{R}_p)$$

where

$$\widehat{R}_p = \varprojlim_{k \in \mathbb{N}} R_p/\pi^k R_p$$

is the **profinite completion** of  $R_p$ .



## Pontryagin duality

It follows from Pontryagin duality for modules (Martin D. Levin) that  $R_p$ -submodules  $M \subseteq K^n/R_p^n$  correspond to the closed right  $M_n(R_p)$ -submodules of  $M_n(\widehat{R_p})$ .

Since  $M_n(R_p) \subseteq M_n(\widehat{R_p})$  is dense, these are precisely the closed right ideals of  $M_n(\widehat{R_p})$ .

But in  $M_n(\widehat{R_p})$  every right ideal is principal (Newman–Pierce).

Further, if two elements  $a, b \in M_n(\widehat{R_p})$  determine the same principal right ideal, then there is some  $u \in GL_n(\widehat{R_p})$  such that  $au = b$  (Kaplansky).



## Explicit description

So the  $R$ -submodules  $R^n \subseteq M \subseteq K^n$  are given by the quotient

$$U_{n,R} = \prod_{\text{ht}(\mathfrak{p})=1} M_n(\widehat{R}_{\mathfrak{p}})/\text{GL}_n(\widehat{R}_{\mathfrak{p}}) = M_n(\widehat{R})/\text{GL}_n(\widehat{R}),$$

where we use the shorthand  $\widehat{R} = \prod_{\text{ht}(\mathfrak{p})=1} \widehat{R}_{\mathfrak{p}}$ .

To get **all** the full  $R$ -submodules  $M \subseteq K^n$  we have to allow scaling by some  $\lambda \in K$ , so we get

$$X_{n,R} = M_n(\mathbb{A}_K^f)/\text{GL}_n(\widehat{R})$$

where  $\mathbb{A}_K^f = \widehat{R} \otimes_R K$  is the ring of **finite adeles** over  $K$ .





## Isomorphism classes

There is a continuous left  $GL_n(K)$ -action on  $M_n(\mathbb{A}_K^f)/GL_n(\widehat{R})$ .

Two points are in the same orbit if and only if the associated  $R$ -submodules  $M \subseteq K^n$  are isomorphic.

So the topos describing full  $R$ -submodules  $M \subseteq K^n$  up to isomorphism is

$$\mathcal{E} = \mathbf{Sh}_{GL_n(K)}(M_n(\mathbb{A}_K^f)/GL_n(\widehat{R}))$$

Do we have an alternative description of this topos?



## Alternative description

Recall that a basis of open sets is given by the sets

$$\{M : \Lambda \subseteq M\}$$

for lattices  $\Lambda$ . Using this,  $\mathcal{E}$  can be described as the topos of sheaves on the category with

- ▶ as objects the lattices  $\Lambda \subseteq K^n$ ;
- ▶ as morphisms  $\Lambda \subseteq \Lambda'$  the elements  $g \in GL_n(K)$  such that  $g^{-1}(\Lambda') \subseteq \Lambda$ ; these are precisely the injective morphisms of  $R$ -modules  $\Lambda' \rightarrow \Lambda$ ;
- ▶ with Grothendieck topology such that a sieve  $\{g_i : \Lambda_i \rightarrow \Lambda\}$  is a covering sieve if for every  $M \subseteq K^n$  of rank  $n$  we have:  
 $\Lambda \subseteq M \Rightarrow \exists i, g_i(\Lambda_i) \subseteq M$ ; this is the presheaf topology!



## Alternative description

Let  $\mathcal{C}_{n,R}$  be the category of (rank  $n$ ) lattices and injective  $R$ -module morphisms between them. We showed that:

$$\mathbf{Sh}_{\mathrm{GL}_n(K)}(\mathrm{M}_n(\mathbb{A}_K^f)/\mathrm{GL}_n(\widehat{R})) \simeq \mathbf{PSh}(\mathcal{C}_{n,R}^{\mathrm{op}}).$$

In the special case that  $R$  is a PID, the only rank  $n$  lattice up to isomorphism is  $R^n$ . In this case,  $\mathcal{C}_{n,R}^{\mathrm{op}}$  is (up to equivalence) the monoid

$$\mathrm{M}_n^{\mathrm{ns}}(R) = \{a \in \mathrm{M}_n(R) : \det(a) \neq 0\}.$$



## Examples

$$\mathbf{PSh}(\mathbb{Z}^{\text{ns}}) \simeq \mathbf{Sh}_{\mathbb{Q}^*}(\mathbb{A}_{\mathbb{Q}}^f / \widehat{\mathbb{Z}}^*)$$

$$\mathbf{PSh}(\mathbf{M}_n^{\text{ns}}(\mathbb{Z})) \simeq \mathbf{Sh}_{\text{GL}_n(\mathbb{Q})}(\mathbf{M}_n(\mathbb{A}_{\mathbb{Q}}^f) / \text{GL}_n(\widehat{\mathbb{Z}}))$$

$$\mathbf{PSh}(\mathbb{Z}[i]^{\text{ns}}) \simeq \mathbf{Sh}_{\mathbb{Q}(i)^*}(\mathbb{A}_{\mathbb{Q}(i)}^f / \widehat{\mathbb{Z}[i]}^*)$$

(Here  $R^{\text{ns}} = R - \{0\}$  as a monoid under multiplication.)



## Section 4

### Change of ring

(joint work with Morgan Rogers)



# Geometric morphisms

## Definition

For toposes  $\mathcal{E}$ ,  $\mathcal{F}$  a **geometric morphism**  $f : \mathcal{E} \rightarrow \mathcal{F}$  is given by a functor  $f^* : \mathcal{F} \rightarrow \mathcal{E}$  (the “inverse image functor”) such that  $f^*$  preserves colimits and finite limits.

Generalizes the notion of continuous map: if  $X$  and  $Y$  are sober topological spaces, then the geometric morphisms  $\mathbf{Sh}(X) \rightarrow \mathbf{Sh}(Y)$  are given by the continuous maps  $X \rightarrow Y$ .

Similarly, the notion of **local homeomorphism** generalizes to toposes.



## Root topos

For  $M$  a monoid, let  $\int_M M$  be the **category of elements** of  $M$  as a right  $M$ -set:

- ▶ the objects are the elements of  $M$ ;
- ▶ the morphisms  $x \rightarrow y$  are given by the elements  $m \in M$  such that  $ym = x$ .

### Definition (Connes–Consani, 2019)

The **root topos** is defined to be the category of presheaves

$$\mathfrak{R}oot(M) \simeq \mathbf{PSh}\left(\int_M M\right).$$

$\mathfrak{R}oot(M)$  only depends on  $\mathbf{PSh}(M)$ , not on  $M$  itself.



## Root topos

There is a local homeomorphism  $\mathfrak{R}oot(M) \rightarrow \mathbf{PSh}(M)$ .  
We can think of this as a universal covering of  $\mathbf{PSh}(M)$ .

In the case,  $M = \mathbb{N}_+^\times$  this is a Diaconescu cover of the Arithmetic Site given by the big cell (Le Bruyn, 2016).

What if  $M = M_n^{\text{ns}}(R)$  for  $R$  a PID as above?

Then  $\mathfrak{R}oot(M) = \mathbf{Sh}(M_n(\widehat{R})/GL_n(\widehat{R}))$ .





# Local homeomorphisms

For  $M, N$  cancellative monoids, a local homeomorphism  $\mathbf{PSh}(M) \rightarrow \mathbf{PSh}(N)$  is necessarily induced by an injective monoid map  $\varphi : M \rightarrow N$ .

In fact,  $\varphi$  induces a commutative square

$$\begin{array}{ccc} \mathfrak{Root}(M) & \xrightarrow{\mathfrak{Root}(f)} & \mathfrak{Root}(N) \\ t_M \downarrow & & \downarrow t_N \\ \mathbf{PSh}(M) & \xrightarrow{f} & \mathbf{PSh}(N). \end{array}$$

## Proposition

*The geometric morphism  $f$  is a local homeomorphism if and only if  $\mathfrak{Root}(f)$  is an equivalence.*



## Local homeomorphisms

For example the geometric morphisms induced by

- ▶  $\mathbb{N}_+^\times \subseteq \mathbb{Z}^{\text{ns}}$
- ▶  $R_p^{\text{ns}} \subseteq \widehat{R}_p^{\text{ns}}$
- ▶ any inclusion of groups

are local homeomorphisms.



## Local connectedness

Similar result for a larger family of geometric morphisms: the **locally connected** geometric morphisms.

Let  $f : \mathbf{PSh}(M) \rightarrow \mathbf{PSh}(N)$  be a geometric morphism induced by a monoid map  $\varphi : M \rightarrow N$ . Then:

### Proposition

*The geometric morphism  $f$  is locally connected if and only if  $\mathfrak{Root}(f)$  is locally connected.*



## Local connectedness

For example the geometric morphisms induced by

- ▶  $R^{\text{ns}} \subseteq R[1/f]^{\text{ns}}$
- ▶  $R^{\text{ns}} \subseteq R_{\mathfrak{p}}^{\text{ns}}$
- ▶  $R^{\text{ns}} \subseteq K^*$

are locally connected.

In the other direction, we can write  $\mathbf{PSh}(R[1/f]^{\text{ns}})$ ,  $\mathbf{PSh}(R_{\mathfrak{p}}^{\text{ns}})$  and  $\mathbf{PSh}(K^*)$  as **subtoposes** of  $\mathbf{PSh}(R^{\text{ns}})$ .