

Classifying sufficiently connected PSC manifolds in dimensions 4 and 5

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(Joint with Otis Chodosh and Yevgeny Liokumovich)

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Scalar curvature

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1. R is the trace of the Ricci tensor;
2. R is twice the sum of sectional curvatures over all 2-planes.
3. R determines the volume of a small geodesic ball:

$$V(B_r(p)) = \omega_n r^n \left(1 - \frac{R(p)}{6(n+2)} r^2 + \dots \right).$$

Thus, $R > 0 \Rightarrow$ small geodesic balls have smaller volume than Euclidean.

The Kazdan-Warner theorem

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Example

K3 surfaces. A 4-dimensional manifold, $\hat{A} = 2 \Rightarrow$ no PSC metric. On the other hand, it has a metric with $\text{Ric} = 0$ (in particular $R = 0$), by the Calabi-Yau theorem.

The obstruction problem

Question (The obstruction problem)

Which smooth, closed manifolds M^n admit a Riemannian metric with positive scalar curvature?

Long history, lots of exciting results!

Low dimensions

In 2D, $R = 2K$. Complete classification by the Gauss-Bonnet theorem:

M^2 admits a PSC metric $\Leftrightarrow M^2$ is diffeomorphic to S^2 or RP^2 .

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In 3D, 3-manifold topology (including the Poincaré conjecture) \Rightarrow each closed orientable M^3 decomposes as a connected sum:

$$M = (S^3/\Gamma_1)\# \cdots \# (S^3/\Gamma_p)\# q \cdot (S^2 \times S^1)\# K_1\# \cdots \# K_m.$$

Here each Γ_j is a finite group acting freely on S^3 , and each K_j is an *aspherical* 3-manifold (each K_j is covered by R^3).

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- ▶ All manifolds admitting a metric of sec ≤ 0 (e.g. the torus and all hyperbolic manifolds).

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Theorem (Schoen-Yau, Gromov-Lawson, \sim 1980)

A closed orientable 3-manifold M admits a PSC metric \Leftrightarrow there is no aspherical component in the decomposition. (\Leftrightarrow a finite cover is diffeomorphic to S^3 or connected sums of $S^2 \times S^1$.)

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In particular:

Corollary

A closed aspherical 3-manifold does not admit a PSC metric.

In dimensions $n \geq 4$

A few landmarks.

- ▶ Lichnerowicz, 1963: M^{4k} is spin and has PSC metric $\Rightarrow \hat{A} = 0$. Greatly expanded by Hitchin, 1974.
- ▶ Schoen-Yau, Gromov-Lawson, \sim 1980: the n -torus T^n admits no PSC metric. ($\hat{A}(T^n) = 0$.)

Remark: The theorem also holds for $T^n \# M^n$, M is closed. This implies (via the Lohkamp's reduction) the positive mass theorem for asymptotically flat manifolds in dimension n .

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Chodosh-L : $T^n \# M^n$ does not have any complete PSC metric, M may be noncompact.

Lesourd-Unger-Yau: Positive mass theorem on asymptotically Schwarzschild manifolds for arbitrary ends.

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- ▶ Gromov-Lawson, 1980, and Stolz, 1992: characterization of simply connected manifolds of dimensions at least 5.
- ▶ Witten, 1994: In dimension 4, Seiberg-Witten invariants obstruct PSC metrics.

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Conjecture A (Schoen-Yau, 1987)

A closed aspherical manifold does not admit any Riemannian metric of positive scalar curvature.

Large scale geometry

A more ambitious formulation in metric geometry (Large Riemannian manifolds, Gromov, 1986):

Conjecture B

Suppose M^n is a “large” Riemannian manifold. Then for every $R > 0$

$$\sup_{p \in M} V(B_R(p)) \geq \omega_n R^n,$$

here ω_n is the volume of the unit ball in \mathbb{R}^n .

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Thus, the universal cover of a closed aspherical Riemannian manifold is large. Conjecture B \Rightarrow Conjecture A.

Relations with metric/topological properties

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Conjecture C

Suppose (M^n, g) is complete with $R(g) \geq 1$. Then there is a constant $C(n)$, an $(n - 2)$ -dimensional simplicial complex, and a continuous map $\varphi : M \rightarrow P$, such that for any $p \in P$, $\text{diam}_g(\varphi^{-1}(p)) \leq C(n)$.

Some previous progress

- ▶ When $n = 4$, in a 1987 survey paper, Schoen-Yau proposed an outline of the conjecture, but certain key parts are missing. This outline is important to us.
- ▶ Greene - Petersen, 1992: A local, very coarse version of Conjecture B.
- ▶ Yu, 1999: Conjecture A holds, if universal cover of M has sub-exponential volume growth.
- ▶ Dranishnikov, 2002: A further extension of Yu's work.
- ▶ Guth, 2011: A coarse version of Conjecture B holds: for all $R > 0$,

$$\sup_{p \in M} \text{Vol}(B_R(p)) \geq \delta(n) \omega_n R^n$$

- ▶ J. Wang, 2019: Conjecture A holds for four dimensional aspherical manifolds with $b_1 > 0$.
- ▶ Liokumovich-Maximo: Conjecture C holds in dimension 3.

Main theorems

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Remark: Gromov proved the result in 5 dimensions independently.

There is a more general mapping version of the theorem.

Theorem 2 (Chodosh-L-Liokumovich, 2021)

Let (N^n, g) be a closed manifold with positive scalar curvature, $n \in \{4, 5\}$. We assume:

- ▶ $\pi_2(N) = 0$, if $n = 4$;
- ▶ $\pi_2(N) = \pi_3(N) = 0$, if $n = 5$.

Then a finite cover \hat{N} of N is *homotopy equivalent* to S^n or connected sums of $S^{n-1} \times S^1$.

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Remarks:

1. If $n = 4$ and \hat{N} is homotopy equivalent to S^4 or $S^3 \times S^1$, or if $n = 5$, then homotopy equivalence can be upgraded to homeomorphism. Diffeomorphism needs a more delicate approach.

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2. The conditions on higher homotopy groups are necessary: consider $T^k \times S^{n-k}$.
3. Heuristically, higher connectivity implies lower Urysohn dimension.

Connections to PIC

Conjecture (Gromov, Schoen)

Let (M^n, g) be a closed manifold with positive isotropic curvature. Then $\pi_1(M)$ is virtually free, and in fact a finite cover of M is diffeomorphic to the connected sums of S^n and $S^{n-1} \times S^1$.

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Approaches include:

- ▶ Minimal surfaces (Micallef-Moore, Fraser, etc.).
 $\pi_1(M) = \cdots = \pi_{\lfloor \frac{n}{2} \rfloor}(M) = 0$.
- ▶ Ricci flow (Brendle-Schoen, Brendle, Chen-Tang-Zhu, Huang, etc.). Conjecture holds in dimension 3 (Chen-Tang-Zhu), and in dimensions at least 12 (Brendle, Huang).

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Note: PIC implies PSC.

A more general mapping version

Theorem

Let $n \in \{4, 5\}$, (X^n, g) be a closed orientable manifold with positive scalar curvature, N^n be closed and orientable, $f : X \rightarrow N$ a map of nonzero degree. Suppose:

- ▶ $\pi_2(N) = 0$, if $n = 4$;
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Corollary

Let $n \in \{4, 5\}$. If (X^n, g) is closed, orientable, and admits a nonzero degree map to an n -dimensional closed orientable $K(\pi, 1)$, then X does not admit any PSC metric.

Lemma

Let (N^n, g) be a closed Riemannian manifold, and (\tilde{N}, \tilde{g}) be its universal cover. Suppose \tilde{N} is non-compact and $H_{n-1}(\tilde{N}, \mathbb{Z}) = 0$. Then there exists a geodesic line $\sigma \subset \tilde{N}$ such that for any $L > 0$, there is a compact two-sided hypersurface with boundary $\hat{M}^{n-1} \subset \tilde{N}$ such that $d_{\tilde{g}}(\partial \hat{M}, \sigma) \geq 3L$ and \hat{M} has nonzero algebraic intersection with σ .

Remark: the universal of a closed aspherical manifold is non-compact.

Key step: homological filling estimate

Theorem (Schoen-Yau)

Let (Σ^2, g) be a 2D surface with $\lambda_1(-\Delta_\Sigma + K_\Sigma) \geq \kappa/2 > 0$.

Then:

1. If $\partial\Sigma = \emptyset$ then $\text{diam } \Sigma \leq 2\pi/\sqrt{\kappa}$.
2. If $\partial\Sigma \neq \emptyset$ then $d_g(p, \partial\Sigma) \leq 2\pi/\sqrt{\kappa}$ for all $p \in M$.

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Consequence:

Proposition

If γ is a null homologous curve in (M^3, g) with $R(g) \geq 1$, then $\gamma = \partial\Sigma$ for some Σ in $N_{2\pi}(\gamma)$.

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Reason: the Plateau solution Σ with boundary γ has stability:

$$\int_{\Sigma} |\nabla f|^2 - \frac{1}{2}(R_g - 2K_\Sigma + |A|^2)f^2 \geq 0, \quad \forall f \in C_0^1(\Sigma).$$

$$\Rightarrow \lambda_1(-\Delta + K) \geq \frac{1}{2}.$$

3D aspherical theorem

We prove a closed aspherical 3-manifold (N, g) does not admit a PSC metric. The proof is different from the original proofs of Schoen-Yau and Gromov-Lawson.

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Proof:

Suppose the contrary, that (N^3, g) has $R(g) \geq 1$. Take its universal cover (\tilde{N}, \tilde{g}) . Take σ and M with $L = 6\pi$. Then σ and M algebraically intersect nonzero.

However, since ∂M is null homologous, $\partial M = \partial \Sigma$ for $\Sigma \subset N_{2\pi}(\partial M)$. Thus $M \cup \Sigma$ is a 2-cycle intersecting σ nonzero algebraically, contradicting $H_2(\tilde{N}) = 0$. □

Take (N^n, g) a closed manifold as in Theorem 2. By the Hurewicz theorem, \tilde{N} satisfies

$$H_1(\tilde{N}, \mathbb{Z}) = \cdots = H_{n-2}(\tilde{N}, \mathbb{Z}) = 0.$$

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The key estimate is the following.

Theorem

Let $n \in \{4, 5\}$. There exists $L = L(N, g) > 0$, such that for any integral $(n - 2)$ -cycle Σ_{n-2} in \tilde{N} , there exists an $(n - 1)$ -chain Σ_{n-1} such that $\Sigma_{n-2} = \partial \Sigma_{n-1}$, and Σ_{n-1} is contained in the L -tubular neighborhood of Σ_{n-2} .

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Combining this estimate and the lemma, we can prove Theorem 1 as before.

To prove Theorem 2, we start with the following observation, inspired by a work of Alpert-Balitsky-Guth.

Proposition

Assume that (N^n, g) has the property that any integral $(n - 2)$ -cycle in the universal cover can be filled in its L -tubular neighborhood. Then (\tilde{N}, \tilde{g}) satisfies:

- ▶ *For any $p \in \tilde{N}$, each connected component of a level set of $d(p, \cdot)$ has diameter $\leq 20L$.*

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- ▶ *For any $p \in \tilde{N}$, each connected component of a level set of $d(p, \cdot)$ has diameter $\leq 20L$.*

Note: This \Rightarrow the Urysohn 1-width of (\tilde{N}, g) is less than $20L$.

Proof of Theorem 2

Step 1 (covering argument): the above Proposition \Rightarrow each finitely generated subgroup G of $\pi_1(N)$ cannot have *one end*.

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Step 2 (geometric group theory): Classical works of Serre, Stallings, Dunwoody $\Rightarrow \pi_1(N)$ is virtually free, i.e. it contains a finite index subgroup which is a free group.

Step 3: apply a purely topological result by Gadgil-Seshadri (2009): if N^n is a closed manifold, $\pi_1(N)$ is a free group with k generators, $\pi_2(N) = \cdots = \pi_{\lfloor \frac{n}{2} \rfloor}(N) = 0$, then N is homotopy equivalent to the connected sums of k copies of $S^{n-1} \times S^1$.

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